

Best Execution and Multiple Market Places in Canada

Securities which trade on the Toronto Stock Exchange or the TSX Venture Exchange, which are currently the primary Canadian marketplaces, may also trade on an alternative trading system (“ATS”). ATS’s may have different hours of operation than the primary marketplaces.

Richardson Wealth’s policies are to affect trades on behalf of our retail clients during the trading hours of the current primary marketplaces. Any orders which are immediately tradable during those hours will be executed on the primary marketplace or any ATS based on our determination of factors such as best price, historical liquidity and likelihood of execution. Any orders, including portions of orders, which are not immediately tradable will be booked into the order queue of the primary marketplace for execution during the trading hours of that marketplace.

We and our Carrying Broker, Fidelity, will take all reasonable steps to obtain best execution when executing an order on your behalf in accordance with applicable securities regulations.

A description of the policies and procedures followed by Fidelity to achieve “best execution” may be obtained on Fidelity’s website at:

https://clearing.fidelity.ca/content/dam/fcc/en/footer-pdfs-en/fcc_disclosure_of_best_execution_policies.pdf